

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail service on **August 25, 2003** and is addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-0450.

By

Karen M. Forsyth



#4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) : James P. Dourish

TITLE : **AN ACTIVE ANNOTATION MECHANISM FOR DOCUMENT MANAGEMENT SYSTEMS**

APPLICATION NO. : 09/443,702

FILED : November 19, 1999

CONFIRMATION NO. : 7610

EXAMINER : Maikhanh Nguyen

ART UNIT : 2176

LAST OFFICE ACTION : April 25, 2003

ATTORNEY DOCKET NO.: D/99330
XERZ 2 00299

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. 1.56, 1.97, 1.98 and MPEP 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is(are) aware. A copy of PTO-1449 is enclosed herewith.

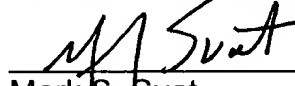
Under 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation (or no translation is available).

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

- ☐ **WITHIN THREE MONTHS OF FILING:** Under 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.
- ☐ **BEFORE FIRST OFFICE ACTION:** Under 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.
- ☒ **BEFORE FINAL ACTION/WITH FEE:** Under 1.97(c)(2), this information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of \$180.00 as required by 1.17(p). The required fees should be charged to Deposit Account No. 06-0308.
- ☐ **INTERNATIONAL SEARCH REPORT:** Under 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 24-0037.

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP



Mark S. Svat
Reg. No. 34,261
1100 Superior Avenue
Seventh Floor
Cleveland, Ohio 44114-2579
(216) 861-5582